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Subfor #

PATENT

- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of  
SEUNG HO HWANG, et al.

Application No. 10/036,234

Filed: December 24, 2001

For: **ENCODING METHOD AND  
SYSTEM FOR REDUCING  
INTER-SYMBOL  
INTERFERENCE EFFECTS IN  
TRANSMISSION OVER A  
SERIAL LINK**

Group Art Unit: 2614

Examiner: Unknown

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION  
AND SUBMISSION OF DECLARATION**

400 Montgomery Street, Suite 1110  
San Francisco, CA 94104  
(415) 433-2250

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on February 25, 2002.

GIRARD &amp; EQUITZ LLP

Date: 02/25/02

By:

Marsha A. Townsend

BOX MISSING PARTS  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 7, 2002 (copy enclosed), Applicant submits the enclosed Declaration for Patent Application.

A check in the amount of \$130.00 is enclosed herewith to cover the surcharge for filing missing parts of an application.

The Commissioner is hereby authorized to charge payment of any **additional** fees associated with this communication, or credit any overpayment to Deposit Account No. 50-1697. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

GIRARD &amp; EQUITZ LLP

Dated: 2/25/02

By:

Alfred A. Equitz  
Reg. No. 30,922

Attorneys for Applicant(s)

Attorney Docket No. SII-1000 [SIMG0115]



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GIRARD & EQUITZ LLP

Dated: 2/25/02

By: Alfred A. Equitz

Alfred A. Equitz  
Reg. No. 30,922

Attorneys for Applicant(s)

Attorney Docket No. SII-1000 [SIMG0115]



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/036,234	12/24/2001	Seung Ho Hwang	SII-1000

CONFIRMATION NO. 6063

## FORMALITIES LETTER



\*OC000000007434854\*

Alfred A. Equitz  
GIRARD & EQUITZ LLP  
Suite 1110  
400 Montgomery Street  
San Francisco, CA 94104

Date Mailed: 02/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/14/2002 SZEWDIE1 00000045 10036234

01 FEB 105

130.00 DP

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).


- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1003634.0310



#2

Atty Docket No. SII-1000 [SIMG0115]

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**ENCODING METHOD AND SYSTEM FOR REDUCING INTER-SYMBOL INTERFERENCE EFFECTS  
IN TRANSMISSION OVER A SERIAL LINK**

the specification of which (check one) \_\_\_ is attached hereto or X was filed on December 24, 2001 as Application No. 10/036,234.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
			Yes	No
Number	Country	Day/Month/Year Filed	_____	_____
Number	Country	Day/Month/Year Filed	_____	_____

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) below.

Application Number	Filing Date
_____	_____

Application Number	Filing Date
_____	_____

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Filing Date	Status: Patented, Pending, Abandoned
<u>09/954,663</u>	<u>09/12/2001</u>	<u>Pending</u>

Application Number	Filing Date	Status: Patented, Pending, Abandoned
_____	_____	_____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor SEUNG HO HWANG

Inventor's signature *Seung Ho Hwang* Date 2/20/02

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Inventor's signature *Jan Banks* Date 2/21/02

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Full name of third joint inventor, if any, PAUL DANIEL WOLF

Inventor's signature *Paul Daniel Wolf* Date 2/21/02

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Inventor's signature *Eric Lee* Date 2-20-02

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of fifth joint inventor, if any, BAEGIN SUNG

Inventor's signature

Baegin Sung

Date

2-21-02

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